**APPLICATION TO TRANSFER LEGAL ENTITY IDENTIFIER (LEI)**

To: **Hellenic Central Securities Depository S.A.** Date:Click or tap here to enter text.

 Accounts & Registry Services Department

 110 Athinon Ave, 104 42 Athens Gr

 Email: AXIALEI@athexgroup.gr - phone +30 210 3366776

*With this application we authorize ATHEXCSD, as Authorised Registrant, to proceed with all the necessary actions in order for the LEI, assigned to us, to be transferred to the London Stock Exchange, as the LOU, for on-going maintenance.*

|  |  |
| --- | --- |
| **Applicant’s Data** |  |
| Official Entity Name in Greek (only if it exists in Greek) | : Click or tap here to enter text. |
| Alternate Entity Name[[1]](#footnote-1) | : Click or tap here to enter text. |
| Anglicized Entity Name | : Click or tap here to enter text. |
| Entity Category |

|  |  |
| --- | --- |
|  [ ]   | General |
| [ ]  | Branch |
| [ ]  | Fund |

 |
| Country Legal Form | : Click or tap here to enter text. |
| Legal Form | : Click or tap here to enter text. |
| Headquarters Address | : Click or tap here to enter text. |
| City | : Click or tap here to enter text. |
| ZIP | : Click or tap here to enter text. |
| Country | : Click or tap here to enter text. |
| Legal Formation Address  | : Click or tap here to enter text. |
| City | : Click or tap here to enter text. |
| ZIP | : Click or tap here to enter text. |
| Country | : Click or tap here to enter text. |
| Business Registry Country | : Click or tap here to enter text. |
| Registration Authority | : Click or tap here to enter text. |
| Registration Authority Entity ID | : Click or tap here to enter text. |
| Legal Entity Website | : Click or tap here to enter text. |
| BIC1 | : Click or tap here to enter text. |
| ISIN1 | : Click or tap here to enter text. |
| Tax Country | : Click or tap here to enter text. |
| Tax Number | : Click or tap here to enter text. |
| **LEI CODE** | : Click or tap here to enter text. |
| Current LOU | : Click or tap here to enter text. |
| LEI of the sending LOU | : Click or tap here to enter text. |
| LEI of the receiving LOU | 213800D1EI4B9WTWWD28 |
| Name of the contact person[[2]](#footnote-2) | : Click or tap here to enter text. |
| Position within the company | : Click or tap here to enter text. |
| Telephone number | : Click or tap here to enter text. |

If there is no Parent Entity or this cannot be disclosed due to one of the exception reasons please enter **N/A** in the Parent Name field and select the relevant Exception reason.

|  |  |
| --- | --- |
| Direct Parent | : Click or tap here to enter text. |
| Direct Exception Reason  |

|  |  |
| --- | --- |
| [ ]  | NON-CONSOLIDATING |
| [ ]  | NO KNOWN PERSON |
| [ ]  | LEGAL OBSTACLES |
| [ ]  | CONSENT NOT OBTAINED |
| [ ]  | BINDING LEGAL COMMITMENTS |
| [ ]  | DISCLOSURE\_DETRIMENTAL |

 |
| LEI of Direct Parent | : Click or tap here to enter text. |
| Direct Relationship Type  |

|  |  |
| --- | --- |
| [ ]  | IS DIRECTLY CONSLIDATED BY |
| [ ]  | IS ULTIMATELY CONSOLIDATED\_BY |
| [ ]  | HAS DIRECT MANAGER |
| [ ]  | IS INTERNATIONAL BRANCH OF |

 |
| Direct Validation Documents |

|  |  |
| --- | --- |
| [ ]  | ACCOUNTS FILING |
| [ ]  | SUPPORTING DOCUMENTS |
| [ ]  | REGULATORY FILING |
| [ ]  | OTHER OFFICIAL DOCUMENT |
| [ ]  | CONTRACTS |

 |
| Direct Qualifier Category  |

|  |  |
| --- | --- |
| [ ]  | IFRS |
| [ ]  | OTHER\_ACCOUNTING\_STANDARD |
| [ ]  | US\_GAAP |

 |

|  |  |
| --- | --- |
| Headquarters Address 1 | : Click or tap here to enter text. |
| City | : Click or tap here to enter text. |
| ZIP | : Click or tap here to enter text. |
| Country | : Click or tap here to enter text. |
| Legal Formation Address  | : Click or tap here to enter text. |
| City | : Click or tap here to enter text. |
| ZIP | : Click or tap here to enter text. |
| Country | : Click or tap here to enter text. |
| Business Registry Country | : Click or tap here to enter text. |
| Registration Authority | : Click or tap here to enter text. |
| Registration Authority Entity ID | : Click or tap here to enter text. |

|  |  |
| --- | --- |
| Ultimate Parent | : Click or tap here to enter text. |
| Ultimate Exception Reason  |

|  |  |
| --- | --- |
| [ ]  | NON-CONSOLIDATING |
| [ ]  | NO KNOWN PERSON |
| [ ]  | LEGAL OBSTACLES |
| [ ]  | CONSENT NOT OBTAINED |
| [ ]  | BINDING LEGAL COMMITMENTS |
| [ ]  | DISCLOSURE\_DETRIMENTAL |

 |
| LEI of Ultimate Parent | : Click or tap here to enter text. |
| Ultimate Relationship Type  |

|  |  |
| --- | --- |
| [ ]  | IS DIRECTLY CONSLIDATED BY |
| [ ]  | IS ULTIMATELY CONSOLIDATED\_BY |
| [ ]  | HAS DIRECT MANAGER |
| [ ]  | IS INTERNATIONAL BRANCH OF |

 |
| Ultimate Validation Documents |

|  |  |
| --- | --- |
| [ ]  | ACCOUNTS FILING |
| [ ]  | SUPPORTING DOCUMENTS |
| [ ]  | REGULATORY FILING |
| [ ]  | OTHER OFFICIAL DOCUMENT |
| [ ]  | CONTRACTS |

 |
| Ultimate Qualifier Category  |

|  |  |
| --- | --- |
| [ ]  | IFRS |
| [ ]  | OTHER\_ACCOUNTING\_STANDARD |
| [ ]  | US\_GAAP |

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|  |  |
| --- | --- |
| Ultimate Headquarters Address  | : Click or tap here to enter text. |
| City | : Click or tap here to enter text. |
| ZIP | : Click or tap here to enter text. |
| Country | : Click or tap here to enter text. |
| Ultimate Legal Formation Address | : Click or tap here to enter text. |
| City | : Click or tap here to enter text. |
| ZIP | : Click or tap here to enter text. |
| Country | : Click or tap here to enter text. |
| Ultimate Business Registry Country | : Click or tap here to enter text. |
| Ultimate Registration Authority | : Click or tap here to enter text. |
| Ultimate Registration Authority Entity ID | : Click or tap here to enter text. |

*The above personal data will be processed, which is necessary for compliance with the legal obligations and for the execution of the work requested by this application regarding the data subject. The company "Hellenic Central Securities Depository SA" processes the above personal data taking all possible security measures and respecting the legal and regulatory framework on personal data protection (more on the "privacy statement" posted on the link of the corporate website:* [*https://www.athexgroup.gr/web/guest/gdpr*](https://www.athexgroup.gr/web/guest/gdpr)

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| The undersigned legal representative(s) of applicant named overleaf is authorized to request the transfer of the LEIS for the following Legal Entities **(FUNDS)**:

|  |  |  |
| --- | --- | --- |
| 1. | Legal Entity Name | Click or tap here to enter text. |
| LEI | Click or tap here to enter text. |
| 2. | Legal Entity Name | Click or tap here to enter text. |
| LEI | Click or tap here to enter text. |
| 3. | Legal Entity Name | Click or tap here to enter text. |
| LEI | Click or tap here to enter text. |
| 4. | Legal Entity Name | Click or tap here to enter text. |
| LEI | Click or tap here to enter text. |
| 5. | Legal Entity Name | Click or tap here to enter text. |
| LEI | Click or tap here to enter text. |
| 6. | Legal Entity Name | Click or tap here to enter text. |
|  | LEI | Click or tap here to enter text. |
| 7. | Legal Entity Name | Click or tap here to enter text. |
|  | LEI | Click or tap here to enter text. |
| 8. | Legal Entity Name | Click or tap here to enter text. |
|  | LEI | Click or tap here to enter text. |

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**Applicant’s Legal Representative Signature or other authorized person[[3]](#footnote-3):** Click or tap here to enter text.

**Applicant’s Name:** Click or tap here to enter text.

**Applicant’s Company Stamp:** Click or tap here to enter text.

1. *Optional field* [↑](#footnote-ref-1)
2. *If the contact person is a partner outside the company (eg broker, banker etc), please define* [↑](#footnote-ref-2)
3. **SUBMISSION:** *by e-mail at****axialei@athexgroup.gr****with attached a) scanned copy of signed/stamped version of this form, b) scanned copy of Legal representation document c) scanned copy of Business Registry Extract d) documents describing the composition of the legal entities of the group if the legal entity participates in a group of companies. Please consult the following site for more information:* [*https://www.athexgroup.gr/axialei*](https://www.athexgroup.gr/axialei) [↑](#footnote-ref-3)